BRITTLE FRACTURE ASSESSMENT OF SEISMIC CONNECTIONS USING MODIFIED BS 7910 APPROACH

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ABSTRACT

This paper discusses a simplified engineering assessment procedure to evaluate the risk of brittle fracture in welds in seismic steel connections as typically used in New Zealand. The approach is based on the modified BS 7910 Level 2A procedure that includes a shift in the transition temperature due to the strain hardening introduced by high-strain cyclic loadings. The procedure was used to assess the critical crack size in an active link application as well as in some representative examples of seismic moment connections. Conclusions were made with respect to the critical crack seize as a function of material properties, service temperature, strain hardening and other critical factors. Furthermore, notch toughness properties in the k-area of some typical universal columns as used for seismic applications have been randomly verified by testing. The test data was used for the analysis.

KEYWORDS: brittle fracture, seismic connections, k-area, crack seize

Introduction

The Northridge (USA, 1994) and Kobe (Japan, 1995) earthquakes showed that existing buildings were prone to unexpected failure. This prompted international research into the earthquake performance of Moment Resisting Connections (MRC). An extensive and comprehensive series of investigations and reports has been completed in the USA by the Federal Emergency Management Agency (FEMA) FEMA 350,351,352, 353, 355. Extensive investigations have also been carried out in Japan leading to the production of Japanese Recommendations vi, vii.

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Following these international developments, HERA in cooperation with the University of Auckland undertook a major research program where a series of large-scale steel connections with artificial imperfections in the welds, were tested under simulated earthquake loads to investigate the nature of failure. These imperfections varied in size and location. Other parameters known to influence performance and therefore investigated are weld size, weld metal composition, beam flange thickness and panel zone rotation. The outcome of this study was that steel connections tested, that were designed and welded in accordance with the NZ standard NZS 3404.1:1997 and AS/NZS 1554.1 showed adequate performance under the test conditions applied (SHORT et al.).

Steel structures designed and fabricated to NZS 3404.1 performed very well in the Canterbury earthquake(s) (GARDINER et al. 2012, CLIFTON et al. 2013). One of the concerns following the earthquake was a fracture of an active link that has been assisted by the low toughness of the base metal in the areas of the web known as k-areas (FERGUSON et al. 2013). This fact raised a number of fabrication compliance and steel traceability issues.

There are several established procedures available for the assessment of brittle fracture e.g. BS7910, R6 and API579. There is considerable overlap in the methodologies for linear-elastic fracture mechanics with BS 7910 reflecting the basic methodology of the other standards. The standard is also applicable for a range of welded joins irrespective of the application field. These procedures largely cover linear-elastic fracture mechanics and therefore have limited applicability for the possible assessment of seismic structures with potentially high plastic demand. A strain-based assessment methodology to assess brittle fracture in steel weldments as typically in the Japanese seismic design is given in the standard WES2808. There are also local-strain-based procedures available to predict fatigue strength of welded joints in low cycle fatigue region.

Investigation of the k-area of UC’s

Universal columns (UCs) are widely used in seismic systems for moment resisting connections and Eccentrically Braced Frames (EBFs). The UCs are typically fabricated using hot-rolling process with a subsequent rotary straightening to ensure that the item is within manufacturing tolerances.

Research performed by the Federal Emergency Management Agency (FEMA), USA showed that UC’s can have significantly reduced notch toughness in the cold-worked areas of the flange known as k-areas (Figure 1). NZS 3404.1:2009 takes account of this fact requiring inspection (MT) where welds are to be placed in the k-area, to verify that cracking has not occurred. FERGUSON et al. reported much reduced notch toughness in the k-area of a universal section used in an active link(s) that was investigated following Canterbury earthquake(s).

To verify the notch toughness in the k-areas of some UCs commonly used in NZ, a student project was jointly performed by the University of Auckland and HERA. In this project, offcuts of some
randomly selected UC’s of the following types have been tested: 200UC46.2, 200UC52.2, 250UC72.9, 310UC96.8 and 310UC118. The testing was done in the k-areas of the UCs in welded (Figure 2) and unwelded conditions to verify its possible effect.

The test data is reproduced in the Table 1 as reported in CHAU et al. Due to some inconsistency during machining of the samples and subsequent testing, the test data should be considered as indicative only.

Table 1: Average Charpy impact energy recorded in the web areas of universal columns tested at 0°C, 10mmx5mm specimens

<table>
<thead>
<tr>
<th>Area / CVN in J</th>
<th>200UC46.2</th>
<th>200UC52.2</th>
<th>250UC72.9</th>
<th>310UC96.8</th>
<th>310UC118</th>
</tr>
</thead>
<tbody>
<tr>
<td>Welded K-Area</td>
<td>12.0</td>
<td>30.7</td>
<td>10.3</td>
<td>34.3</td>
<td>34.7</td>
</tr>
<tr>
<td>Non-Welded K-Area</td>
<td>21.7</td>
<td>30.3</td>
<td>19.7</td>
<td>42.3</td>
<td>22.0</td>
</tr>
<tr>
<td>Welded Web Centre</td>
<td>22.0</td>
<td>27.3</td>
<td>21.7</td>
<td>44.3</td>
<td>49.7</td>
</tr>
<tr>
<td>Non-Welded Web Centre</td>
<td>22.0</td>
<td>28.3</td>
<td>32.0</td>
<td>43.0</td>
<td>38.3</td>
</tr>
</tbody>
</table>

In the case of 200UC46.2 and 250UC72.9 the test results show a significant reduction in the CVN valued in the k-area in welded condition as compared with the tests performed in the central area of the web. In the case of 200UC52.2 and 310UC118 no apparent reduction in the CVN has been reported.

The effect of the notch toughness in the k-era of an active link on the brittle fracture reported below was assessed using a lowest measured CVN value of 10.3J@0°C (10x5mm specimen)

The Approach

BS 7910 has been selected as the assessment standard as it can be applied to the range of welded joints irrespective of the application field. The standard provides a guideline for three levels of fracture assessments. The Level 2A assessment referred to as a normal assessment route for general applications has been selected. A tension Mode 1 has been considered.

The method is based on a Failure Assessment Diagram (FAD) as shown in the Figure 3. In the FAD diagram, the fracture ratio $K_x$ is plotted along the y-axis and the load ratio, $L_y$, is plotted along the x-axis. The load ratio determines the danger of plastic collapse and the fracture ratio determines the danger of brittle fracture. For a combination of input parameters, the assessment point has to be placed within the limits of the FAD diagram in order to avoid brittle fracture.

The method does not take into account the strain hardening of the structural steels due to cyclic loading. In order to account for the pre-strain, the assessment procedure has been modified to include a temperature shift in the $T_{27J}$ transition temperature according to HYLAND (Figure 4). The reported tests have been performed on the 310UC158 flange steel. For the purpose of this assessment it was assumed that the test results are also valid for typical universal section 310UC118 350L0 to AS/NZS 3679.1 that was used in this analysis.

The temperature shift, $T_{shift}$, is included in the model by the equation:

$$T_{27J}^{Shift} = T_{27J} + T_{shift}$$
where the temperature shift is expressed by

\[ T_{\text{Shift}} = -0.0415\varepsilon_{\text{pre}}^2 + 3.7141\varepsilon_{\text{pre}} + 1.5397 \]

Here \( \varepsilon_{\text{pre}} \) is the amount of pre-strain that can be estimated (e.g., according to the empirical data shown in the Figure 3) or obtained from hardness measurements following a procedure outlined in FERGUSON et al.\textsuperscript{xxvi} (Figure 5).

![Figure 3: Schematic showing the effect of number of cycles on the increase in transition temperature according to SEAL\textsuperscript{xxv}](image1)

![Figure 4: The effect of pre-strain on the transition temperature according to HYLAND\textsuperscript{xxvi}](image2)

![Figure 5: Hardness versus Plastic Strain relationship for 8mm thick tensile specimens according to H. Nashid reported in FERGUSON\textsuperscript{xiii}](image3)

![Figure 6: Basic principle of a Failure Assessment Diagram (FAD)](image4)

The assessment requires the knowledge of the peak stresses at the location of interest (weld toe and weld root). The peak stress at the weld toe was determined using the approach proposed by GLINKA et al.\textsuperscript{xxvii}. The approach is based on the decomposition of the hot spot stress into the membrane and bending contribution.

The stress concentration factors are used together with the hot spot membrane and bending stress at the location of interest. The classical stress concentration factors according to LIDA et al.\textsuperscript{xxviii} were
used to determine the peak stress at the weld toe. The generic geometrical configurations used for producing the stress concentration factors were the T-butt and cruciform welded joint in Figure 7. An equal leg size fillet weld profile with an average weld toe to the base metal transition radius of 0.8 mm was considered.

Figure 7: Cruciform welded joint subjected to tension showing point where the stress concentration factor was calculated

Figure 8: Transverse load-carrying cruciform joint showing embedded and surface (weld toe) crack

The stress concentration factors at the weld root were estimated depending on gap length and weld thickness according to RADAJ et al. xxix.

The membrane and bending nominal stress was either taken from the shell finite elements or calculated using classical formulas. In the case on an active link a 3D FEA model was used to determine maximum membrane and bending stresses at a location of interest.

In the case of hypothetical examples (Section 0), it was assumed that the maximum principal stresses in the section was equal to the yield stress and the relationship between membrane and bending stress component was 2/3 to 1/3.

Figure 8 shows calculated assessment points for a cruciform joint containing surface and embedded cracks. The crack seize has been selected to represent a (reliably) detectable crack seize (depth) in a workshop situation.

To simplify calculations it was assumed that the through thickness stress remains the same as the peak stress irrespective of the crack height (for surface flaw or 2a for embedded flaw). This assumption is conservative.

For all calculations below, safety factors were set to 1.

**Analysis of an active link using loading data from the FEA and BS 7910**

An active link made of a universal column 310UC118 as typically used in eccentrically braced frames (ref. Figure 9) was modelled using 3-D FEA and subjected to cyclic seismic loadings with increased magnitude. Details of the analysis are given in the HERA report MAGO xxx.

The active link was analysed at the stiffener, weld toe, near the web-to-flange intersection area as shown in the Figure 10.

The maximum principal stresses at this location were determined at the simulated loading cycle No. 61. This was the last loading cycle before the behaviour of the composite slab sitting above the link changed from elastic to plastic as reported in MAGO xxx.

The maximum and minimum principal stresses were determined from FEA model at the locations near to the weld without capturing a stress concentration effect (peak stress). The membrane and bending stresses were calculated as follows:
The peak membrane and peak bending peak stresses were determined using stress concentration factors as described above (Table 2).

In order to simplify the assessment, the assumption was made that the direction of the maximum principle stresses at the stiffener weld is perpendicular to the weld axis (in reality, the maximum principle stresses act at 45° to the weld). This assumption is however conservative.

For the purpose of this analysis it was conservatively assumed that all steel has a CVN value of 10.3J@0°C (10mmx5mm specimen). This was the lowest average notch toughness reported in tests done in the k-areas (see Section 0).

The CVN values of the sub-size specimen were estimated as 15.45J@0°C of a standard 10mmx10mm seize one using the ABS Procedure

Figure 9: Fine meshed region of the FE model
Figure 10: Location used for the determination of the principle stresses (red-marked)

The inputs used for the analysis are shown in the Table 2 below:

Table 2: Input data for the assessment

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lower yield strength or 0.2% proof strength [MPa]</td>
<td>$\sigma_y = 350$</td>
</tr>
<tr>
<td>Stress intensity magnificent factors [-]</td>
<td>$M = M_b = M_w = 1$</td>
</tr>
<tr>
<td>Temperature at which $K_{mat}$ is to be determined [°C]</td>
<td>$T = 0$</td>
</tr>
<tr>
<td>Charpy Impact Energy at 0°C (10x10mm) [J]</td>
<td>$CIE = 15.45$</td>
</tr>
<tr>
<td>Tensile strength [MPa]</td>
<td>$tensile = 517$</td>
</tr>
<tr>
<td>Half flaw length for surface or embedded flaw [mm]</td>
<td>$c = 1.25$</td>
</tr>
<tr>
<td>Stress concentration factor for membrane loading [-]</td>
<td>$k_m = 3.10892$</td>
</tr>
<tr>
<td>Stress concentration factor for bending loading [-]</td>
<td>$k_{\mu} = 2.08291$</td>
</tr>
<tr>
<td>Axial misalignment (eccentricity or centre line mismatch) [mm]</td>
<td>$e = 3$</td>
</tr>
</tbody>
</table>
Angular change at misalignment joint [°] \[ \alpha = 3 \]

Primary membrane stress [MPa] (at stiffener weld) \[ P_m = -3 \]

Primary bending stress [MPa] (at stiffener weld) \[ P_b = 390.2 \]

The assessment points on the FAD diagram representing a weld toe crack with a crack height (a) at a stiffener in a k-area of the active link under considerations.
Figure 11: Assessment points on the FAD diagram representing a crack seize at a stiffener weld from 0.2 to 2.8 mm in a k-area of an active link

The estimated critical crack height \((a)\) for the 15.45J@0°C web steel for the conditions considered was around 2.6mm.

Further sensitivity analysis showed that for the steel with the CVN values of 61J@0°C, 27J@0°C and 5J@0°C, the critical crack seize is around 4.7mm, 3.2mm and 2.0mm respectively.

**Sensitivity analysis – critical factors affecting brittle fracture in seismic moment connections**

New Zealand design standard NZS 3404.1:2009 makes references to some typical seismic connections as shown in the Figure 12. Welds No. 1, 6, 4 and 8 usually have high seismic demand with member element stresses are generally anticipated to be at or exceed yield level depending on the seismic event. To identify significance of individual inputs with respect to the brittle fracture in seismic moment connections, a series of calculations was done using the procedure described in the Section 0.

In particular, a critical crack seize for an embedded and weld toe crack were calculated as a function of notch toughness (CVN), percentage of pre-strain and other relevant factors. The critical crack size was defined as the depth of the crack according to the Figure 8 at which the assessment point has crossed the boundary assessment line of the FAD.

Geometric dimensions and properties parameters of a typical universal column 310UC118 Grade 350 L0 to AS/NZS 3679.1 \(^{xxi}\) were considered for the analysis. It was assumed that the welds No. 1, 6, 4 and 8 (Figure 12) have been performed as full penetration butt welds with superimposed 6mm fillet weld.
Figure 12: Some typical seismic frame connection details according to NZS 3404.1:2009

Some inputs used for the analysis are given in the Table 3.

Table 3: Some inputs considered for the calculation of the critical crack seize

<table>
<thead>
<tr>
<th>Input</th>
<th>Value or description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Universal section</td>
<td>310UC118 to AS/NZS 3679.1</td>
</tr>
<tr>
<td>Base metal properties (BM)</td>
<td>Grade 350L0 to AS/NZS 3679.1</td>
</tr>
<tr>
<td>Yield strength</td>
<td>340MPa</td>
</tr>
<tr>
<td>UTS</td>
<td>480MPa</td>
</tr>
<tr>
<td>CVN (BM) variable: 5 to 61 J@0°C</td>
<td></td>
</tr>
<tr>
<td>Minimum service (steel) temperature variable:</td>
<td>10, 0 and -10°C</td>
</tr>
<tr>
<td>Fillers metal variable: 5 to 61 J@0°C and 47@</td>
<td>0°C and 47@-20°C</td>
</tr>
<tr>
<td>Joint type</td>
<td>Cruciform joint, Figure 8</td>
</tr>
<tr>
<td>Weld type</td>
<td>Double-sided FSBW with superimposed 6mm fillet welds</td>
</tr>
<tr>
<td>Assumed level of the principal stresses in the section</td>
<td>1.0fy</td>
</tr>
<tr>
<td>Flaw parameters (ref. Figure 8 and Figure 11)</td>
<td>Flaw height a: variable</td>
</tr>
<tr>
<td>Assumed relationship between membrane and</td>
<td>Flaw length c: 1.25 mm</td>
</tr>
<tr>
<td>bending stress component</td>
<td></td>
</tr>
<tr>
<td>Axial misalignment (eccentricity or centre line mismatch) [mm]</td>
<td>$e = 3$</td>
</tr>
<tr>
<td>Angular change at misalignment joint [°]</td>
<td>$\alpha = 3$</td>
</tr>
</tbody>
</table>
The Figure 13, Figure 14 and Figure 15 show the effect of individual inputs on the critical crack size in a cruciform joint representing welds No. 1, 6, 4 and 8 moment resisting seismic connections, Figure 12. Calculations were performed for the surface and embedded crack.

Figure 13: Critical flaw size (2a) versus Charpy Impact Energy (at 0% pre-strain) and pre-strain (embedded flaw)

Figure 14: Critical flaw size (a) versus Charpy Impact Energy (at 0% pre-strain) and pre-strain (surface flaw)

The calculations show that depending on the amount of prestrain a surface (weld toe) crack of about 1.7 mm depth (a) can be critical for the virgin steel with the CVN value of 27J@0°C. The critical crack height reduces to about 0.7 mm in the case of 17.7% prestrain. In the case of an embedded crack as may be found in form of a gap between two partial penetration butt or fillet welds, a critical crack height (2a) is about 4.8mm and 1.9mm respectively. Deployment of an S0 steel with the nominal impact energy of 70J at 0°C would allow an increase in the critical crack height form about 1.7 mm
2.8 mm for the weld toe crack (a) and from 4.8 to about 8 mm for the embedded crack (2a) (Figure 13 and Figure 14).

![Critical flaw size versus prestrain](image)

**Figure 15:** Critical flaw size (2a) versus prestrain for the weld metal with a Charpy impact energy of 47J at 0°C and -20°C.

In the case of an embedded crack, the crack path is likely to be through the weld metal. Figure 15 shows critical crack height (2a) versus prestrain for the weld metals with the notch toughness of 47J@0°C and 47J@-20°C commonly referred to as Grade 2 and Grade 3 respectively. Using Grade 3 filler material leads to an increase in the critical crack height (2a) from about 6.7mm to 11.5mm for the weld metal without prestrain and from about 2.26mm to 3.16mm (only) for 17.7% prestrain.

**Discussion and Conclusions**

**The assessment procedure**

The assessment procedure developed offers a tool for engineers to make a decision as to whether a welded joint under considerations is fit for purpose irrespective of its compliance with the current fabrication and design standards. The procedure is based on BS7910 Level 2A assessment that includes a temperature shift in the $T_{27}$ transition temperature due to strain hardening.

The procedure was used to assess a critical crack size in an active link and a number theoretical examples of typical seismic connection. The assessment is limited to the situation where the maximum stress in the section does not exceed yield stress. The limitation is however that the assessment procedure has not been verified by alternative modelling methods yet.

The assessment can be potentially used for the assessment of the existing steel structures using non-destructive techniques following the steps below:

- Estimate the amount of pre-strain (e.g. based on hardness)
- Identify defect size and location using NDT
- Evaluate weld geometry for the stress concentration
- Estimate / calculate the stress level in the section
- Apply modified BS7910 Level 2A
Tests in the k-area of universal columns

Charpy impact tests performed on some typical universal columns show in the case of 250UC72.9 an almost three-fold reduction in the CVN in the k-area as compared with the centreline of the web. Due to some inconsistency during machining and testing, the test results should be considered as indicative only. The tests should be repeated under the controlled conditions. This should also include a distribution of the CVN values as a function of beam length to capture possible scattering of the cold-worked areas along the beam. However, calculations below show that the notch toughness of the web is not as critical as that of the flange metal.

Evaluation of an active link at the stiffener

The assessment of an active link shows an estimated critical crack seize (depth) at a stiffener of an active link that exhibits an average CVN value of 15.45J@\(0^\circ\)C for the loading case considered was around 2.6mm. Even 5J@\(0^\circ\)C steel will be able to resist propagation of an up to 2.0mm deep crack under the loading conditions considered.

A crack of this depth can be reliably detected by the surface inspection methods. From the practical point of view it means that, a universal beam with the lower impact toughness in the web area can be accepted as fit for purpose for this application provided there is no surface braking (weld toe) cracks.

Sensitivity analysis of factors affecting brittle fracture in seismic moment connections

Alongside with the crack size, component temperatures and strain-hardening are the significant impact factors affecting brittle fracture. However the crack size (depth) has the most significant impact implying that the quality control during welding fabrication is a vital step alongside with material traceability.

Using higher toughness filler material significantly improves fracture resistance of a cruciform welded joint. Grade 3 filler material shall be specified for all critical welds as required in NZS 3404.1.

The critical crack size for the embedded crack was always smaller than the gap between two fillet welds indicating that specifying fillet welds for the moment resisting seismic connections may not be conservative. Welds used to connect beams to columns in Moment Resisting Connections (MRCs) and designed to resist seismic loads are usually butt welds. The New Zealand structural steel design code however permits the use of fillet welds which are commonly considered more economic for sections with beam flange thicknesses less than 15 mm.

SP weld category (ref. AS/NZS 1554.1 Table 6.2.2) permits a short surface crack-like imperfection referred to as “Lack of fusion or incomplete penetration” with a length of up to 20mm and a depth of up to 1.5mm depending on the material thickness and the location of the imperfection relative to the end of the weld. Depending on the notch toughness of the BM this imperfection may be critical for moment-resisting connections from the brittle fracture point of view.

Recommendations

Following recommendations can be given:

- Suitability of fillet welds for the moment connections needs to be re-considered (gap=crack).
- Acceptability of a lack of fusion as a surface imperfection for SP weld to AS/NZS 1554.1 should be re-considered.
- AISC\textsuperscript{xxxii} & AWSD1.18\textsuperscript{xxxiv} approach that is more prescriptive regarding weld details and QA needs to be re-considered with respect to the applicability of some of the aspects to NZ practices (e.g. welding in the corner area, coping holes etc.).
- A guidance should be given to the engineers addressing NDT requirements as a function of critical defect size, material properties, service conditions and probability of detection and well as welding QA.
- An auditable welding quality management system according to NZS 3404.1 and AS/NZS 1554.1, and/or AS/NZS ISO 3834\textsuperscript{xxxv} needs to be considered as a mandatory requirement for fabricators involved in welding of critical seismic connections.
Acknowledgement

The authors would like to thank Prof. K. Jayaraman, Prof. Ch. Clifton, Mr H. Nashid, Mr J. Chau and J. Shin from the University of Auckland, Mr A. McClintock and H. Heinzel from HERA, Grayson Engineering Ltd and other people and companies who have contributed to this project.

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